

Annexure-II**Central Vigilance Commission**

No. 015/VGL/091

Dated: 13.01.2017

NOTICE

Subject: - Appointment of Independent External Monitors (IEMs) in Ministries / Departments / Organisations.

The Commission, in the year 2007, recommended implementation of a concept called 'Integrity Pact' (IP) in respect of all major procurements and in May, 2009 formulated a Standard Operating Procedure (SOP) outlining the essential ingredients of IP. The SOP has since been modified vide *Circular* No.02/01/2017 dated 13.01.2017. IP is to be implemented through Independent External Monitors (IEMs) who are eminent personalities of high integrity and reputation. The Commission proposes to maintain a panel of names for appointment as IEMs in Ministries / Departments / Organisations.

Persons fulfilling the eligibility conditions and willing to be empanelled by the Commission for being considered for appointment as IEMs as per the terms & conditions laid down in the 'Scheme' may submit their applications in the prescribed proforma to the Secretary, Central Vigilance Commission, Satarkta Bhawan, Block-A, GPO Complex, INA, New Delhi – 110023.

**Proforma for sending particulars for empanelment as IEMs in Ministries/
Departments / Organisations**

1.	Name of the person (in full)		Please affix latest passport size photograph
2.	Father's Name		
3.	Date of Birth		
4.	Date of Retirement		
5.	Date of Entry into service		
6.	Service to which the officer belonged including batch/year cadre, etc.		
7.	Post held at the time of retirement (whether it is Secretary/AS rank)		
8.	Pay scale at the time of retirement		
9.	Educational Qualifications		
10.	Present Address		
11.	Contact No.		
	a) Telephone (along with STD code)		
	b) Mobile		
	a) Fax		
	a) E-mail Address		
12.	Positions held (during the ten preceding years of superannuation)		

S. No	Organisation	Designation & Place of Posting	Administrative / nodal Ministry / Deptt. concerned (in case of officers of PSUs, etc.)	From	To
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13. Brief details of work experience
14. Experience in handling procurement / tenders
15. Brief Details of any other domain experience
16. Present assignments, if any.
17. Whether already an IEM?
If so, name of organisation(s).
18. Desired place of appointment
(Please indicate city)
19. Please indicate list of PSUs in which your appointment as IEM may have potential conflict of interest

Name & Signature

The Scheme for empanelment of persons for appointment as Independent External Monitors (IEMs)

In the year 2007, the Commission vide office order No.41/12/07 dt.4.12.2007 recommended implementation of a concept called 'Integrity Pact' (IP) in respect of all major procurements. The IP essentially envisages an agreement between the prospective vendors /bidders and the buyer committing the persons / officials of both the parties not to exercise any corrupt influence on any aspect of the contract. The Integrity Pact in respect of a particular contract would be effective from the stage of invitation of bids till the complete execution of the contract. Further, in May 2009, vide *Circular* No.10/5/09 dated 18.5.2009, the Commission formulated a Standard Operative Procedure (SOP) outlining the essential ingredients of IP (All the above quoted orders are available on the Commission's website www.cvc.nic.in).

1. The main role and responsibility of IEM is to resolve issues raised by an intending bidder regarding any aspect of the tender which allegedly restricts competition or indicates bias towards some bidders. IEMs are expected to examine process integrity and not expected to concern themselves with fixing of responsibility on officers.
2. The SOP has since been reviewed by the Commission and a modified SOP has been issued by the Commission vide *Circular* No. 02/01/2017 dated 13.01.2017.
3. IP is to be implemented through Independent External Monitors (IEMs) appointed by the organisation. IEMs would review independently and objectively whether and to what extent parties have complied with their obligations under the pact. The IEMs would have access to all contract documents and would examine all complaints received by them and give their recommendations / views to the CEO of the organisation (and to the CVO in case of suspicion of serious irregularities). The recommendations of IEMs are advisory in nature and not legally binding. The role of IEMs and CVO are separate and would remain unaffected by each other.
4. Now, the Commission proposes to empanel names for appointment as IEMs as per the following scheme: -

Eligibility: -

- The choice of IEM should be restricted to officials from the Government and Public Sector Undertakings who have retired from very senior positions. The very senior positions shall mean level of Additional Secretary to the Government of India and above or equivalent pay scale. For Public Sector Undertakings, top positions shall mean Board level and above in Schedule A companies, Public

Sector Banks / Insurance Companies / Financial Institutions. Officers of the Armed Forces who have retired from the rank equivalent of Lt. General and above may also be considered for appointment.

- Age should not be more than 70 years at the time of appointment / extension of tenure.

Selection method: -

- A Notice inviting applications from eligible persons will be published on the Commission's website.
- After due scrutiny and verification of the applications and documents by the Commission, the applicants will be shortlisted for empanelment by the Commission.

Terms & conditions: -

- A person cannot be appointed as IEM in more than three organisations at a time.
- A maximum of three IEMs would be appointed in any organisation.
- IEMs would be appointed for an initial period of three years, which is extendable by another two years with the approval of the Commission.
- A person who is either serving or has retired from the same organisation cannot be appointed as IEM in that organisation.
- Remuneration payable to the IEMs by the organisation concerned would be equivalent to that admissible to an Independent Director in the organisation and in any case, would not exceed Rs. 20,000/- per sitting.

Procedure: -

- The Commission would maintain a panel of names for appointment as IEMs, which can be accessed by all the organisations.
- On receipt of request from organisation concerned for appointment as IEMs, the Commission would approve two / three names as the case may be from the panel of names.
- For extension of tenures, the organisation concerned would send a request to the Commission atleast one month before the expiry of initial three-year tenure.
