

F.No.M-03/0011/2015-DPE (MoU).
Government of India
Ministry of Heavy Industries and Public Enterprises
Department of Public Enterprises

Public Enterprises Bhawan,
Block No.14, CGO Complex
Lodi road, New Delhi,
Dated the 09th August, 2016

Office Memorandum

Subject:- MoU Guidelines 2016-17- compliance of DPE Guidelines having financial implications regarding.

The undersigned is directed to refer to subject mentioned above and to state that as per para 14.2 and 14.3 of MoU Guidelines 2016-17, compliance of DPE guidelines having financial implications is compulsory, failing which CPSEs with 'Excellent' rating would be downgraded to 'Very Good' and 5 marks would be deducted in respect of other CPSEs.

2. A list of DPE Guidelines having financial implications is enclosed. These guidelines are also available on website of DPE i.e. dpe.nic.in.



(Rashmi Tahiliani)
Deputy Director (MoU)

To
Secretaries of all Administrative Ministries/Departments.

Copy: Chief Executives/CMDs of all CPSEs .

ANNEXURE**List of DPE Guidelines having Financial Implication**

No. of guidelines	Sl.No.	OM No.	Date
1. Policy Planning Division			
A. Streamlining the mechanism for revival & restructuring of sick/incipient sick and weak CPSEs: General principles and mechanism of restructuring.			
1	1	DPE/5(1)/2014-Fin. (PartIX)	29 th October, 2015
B. Guidelines on Capital Restructuring of Central Public Sector Enterprises (CPSEs)			
2	1	PP/14(0005)/2016	20 th June, 2016
C. Investment of Surplus funds by CPSEs			
3	1	DPE/4/3/92-Fin.	27 th June, 1994
4	2	DPE/4/(6)/94-Fin.	14 th December, 1994
5	3	DPE/4/(6)/94-Fin.	1 st November, 1994
6	4	DPE/4/(6)/94-Fin.	11 th March, 1996
7	5	DPE/4/(6)/94-Fin.	2 nd July, 1996
8	6	DPE/4/(6)/94-Fin.	14 th February, 1997
9	7	DPE/4/(6)/94-Fin. G-XVII	25 th November, 199
10	8	DPE/4/(6)/94-Fin.	29 th September, 2005
11	9	DPE/11/47/2006-Fin.	31 st August, 2007
12	10	DPE/11/47/2006-Fin.	4 th December, 2007
13	11	DPE/11/47/2006-Fin.	11 th April, 2008
14	12	DPE/11/47/2006-Fin.	15 th April, 2008
15	13	DPE/11/47/2006-Fin.	14 th August, 2008
16	14	DPE/11/(15)/2008-Fin.	12 th January, 2009
17	15	DPE/18/(1)/2008-Fin.	24 th February, 2009
18	16	DPE/18/(1)/2008-Fin.	13 th August, 2012
D. Expenditure Management Economy Measures and Rationalization of Expenditure			
19	1	DPE/3/(4)/08-Fin.	26 th November, 2014
2. Wage Cell			
A. Pay Revision/HPPC Recommendations			
20	1	Revision of scales of pay of the executives holding posts Below the board level and non-unionised supervisors w.e.f. 1.1.1992. O.M. No. 2(50)/86-DPE (WC)	19 th July, 1995
21	2	Board level posts in Public Enterprises-revision of scales of pay of schedule posts w.e.f. 1.1.1992. O.M. No. 2(50)/86-DPE (WC)	19 th July, 1995
22	3	Policy for the sixth round for wage negotiations in Public Sector Enterprises. O.M No.2(11)/96-DPE(WC)	14 th January, 1999
23	4	Board level posts and below board level posts including non-unionised supervisors in Public Enterprises - revision of scales of pay w.e.f. 1.1.1997. O.M No. 2(49)/98-DPE(WC)	25 th June, 1999

24	5	Wage negotiations for workers in Central Public Sector Enterprises in respect of those CPSEs which have opted for five year wage negotiation w.e.f 1.1.97. O.M No. 2/11/96-DPE (WC)-GL-I	11 th February, 2004
25	6	Policy for the 7th round of wage negotiations for unionized workers in Central Public Sector Enterprises w.e.f. 1.1.2007. O.M. No. 2(7)/2006-DPE(WC) -GL-XIV	9 th November, 2006
26	7	Policy for the 7th round of wage negotiations for unionized workmen in Central Public Sector Enterprises (CPSEs) - periodicity of wage settlement. O.M. No. 2(7)/06-DPE (WC) - GL VI	1 st May, 2008
27	8	Pay revision of CPSEs employees following CDA pattern in 69 Central Public Sector Enterprises.O.M. No. 2(54)/2008-DPE (WC)-XI/08	14 th October, 2008
28	9	Board level and below board level executives and Non-Unionised supervisors in Central Public Sector Enterprises (CPSEs) - revision of scale of pay w.e.f. 01.01.2007. O.M. No. 2(70)/08-DPE(WC)	26 th November, 2008
29	10	Revision of pay scales of executives of Central Public Enterprises (CPSEs) W.E.F 01.01.2007 - Performance Management System (PMS)-linkage of Performance Related Pay (PRP) with MoU rating of CPSEs and performance of individual executives - regarding. O.M. No. 2(70)/08-DPE(WC)-GL IV/09	9 th February, 2009
30	11	Revision of scales of pay w.e.f 01.01.2007 for board level and below board level executives and Non-Unionised supervisors in the Central Public Sector Enterprises (CPSEs)- Report of the committee of ministers thereon. O.M. No. 2(70)/08-DPE(WC)-GL VII/09	2 nd April, 2009
31	12	Recommendations of 2nd Pay Revision Committee (PRC) in respect to sick CPSEs and CPSEs having level less than Rs. 50 crore. O.M. No.2(74)/08-DPE(WC)-GL-XI/2010	16 th June, 2010
32	13	Clarifications based on recommendations of the anomalies committee; pay related issues (2007 pay revision) of executives & non-unionized supervisors of CPSEs. O.M. No.2(32)/10-DPE(WC)-GL-XXIII/2010	26 th October, 2010
33	14	Pay revision of executives and non-unionised supervisors of CPSEs w.e.f. 1.1.2007. [DPE O.M. No. 2(51)/2010-DPE(WC) GL-X/2011	1 st June, 2011
34	15	Clarification on some issues regarding Performance Management System (PMS), Performance Related Payments (PRP) and Productivity Linked Incentives (PLI). O.M. No.(21)/11-DPE(WC) GL-XIII/2011	6 th July, 2011
35	16	Creation of corpus for retired employees of CPSEs. O.M. No. 2(81)/08-DPE(WC)-GL-XV/2011	20 th July, 2011

36	17	Keeping certain allowances outside The 50% ceiling for perks & allowances. O.M. No. 2(20)/2012-DPE(WC)	29 th June, 2012
37	18	Policy for the 7th round (2nd part) of wage negotiations for unionized workers in Central Public Sector Enterprises w.e.f. 01.01.2012. O.M. No. 2(110)/11-DPE(WC)-GL-XVI/13	13 th June, 2013
38	19	Clarifications regarding introduction of pension scheme and post superannuation medical benefits In CPSEs. OM No.W-02/0017/2014-DPE-(WC)-GL-XI/14	21 st May, 2014
B. Allowances & Perquisites			
39	1	Creation of corpus in order to take care of medical and any other emergency needs of retired employees of CPSEs. O.M. No.2(81)/08-DPE(WC)-GL-XVI/2009	8 th July, 2009
40	2	Recovery of rent for the leased accommodation from employees of CPSEs. O.M. No.2(68)/08-DPE(WC)-GL IV/12	20 th March, 2012
41	3	22 Issue of creation of 'corpus' for the retired employees of CPSEs and introduction of superannuation benefit scheme for the executives and non-unionized supervisors of CPSEs after 01.01.2007. O.M. No. 2(1)/2013-DPE(WC)-GL-VI/13	24 th January, 2013
42	4	Keeping certain allowances outside the 50% ceiling for perks and allowances. [DPE O.M. No. 2(17)/2010-DPE(WC)-GL-XV/13	11 th June, 2013
C. Others			
43	1	Revised ceilings on accumulation of earned leave. O.M. No.2(53)/90-DPE(WC)	5 th August, 2005
44	2	Method of calculation of encashment of earned leave in CPSEs. O.M. No. 2(2)/85-DPE(WC)-GL -XVII/08	11 th December, 2008
45	3	Recommendations of 2nd Pay Revision Committee (PRC) on compensation package in sick CPSEs and CPSEs in category 'D' having income levels of less than Rs 50 Crores; examination thereof. O.M. No.2(74)/08-DPE-(WC)	14 th January, 2009
46	4	Clarification sought by audit on encashment of sick leave O.M. No.2(14)/2012-DPE(WC)-GL-XXI/12	17 th December, 2012
47	5	Scales of pay and grades of executives at below board level in CPSEs. O.M. No.2(12)/2009-DPE(WC)	24 th December, 2012
48	6	Purchase, use, entitlement and other instructions regarding staff car in Central Public Sector Enterprises (CPSEs)-Reg. O.M. No. 2(23)/11-DPE(WC)-GL-V/13	21 st January, 2013
49	7	Purchase, use, entitlement and other instructions regarding staff car in Central Public Sector Enterprises (CPSEs). O.M. No. 2(23)/11-DPE-(WC) GL-XXVI/13	4 th November, 2013
50	8	Encashment of Half Pay Leave (HPL) on superannuation - regarding. O.M. No. 2(14)/12-DPE-(WC) GL-IV/14	7 th February, 2014